

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

**COMBINED DECLARATION AND POWER
OF ATTORNEY IN ORIGINAL APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below next to my name; and that

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor

(if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE: NON-HALOGENATED HYDROXYALKYL-SUBSTITUTED PHENOL COMPOUNDS, ANTIMICROBIAL COMPOSITIONS CONTAINING THE SAME AND METHODS OF USING THE SAME

the specification of which

is attached hereto, or,
 was filed on 12/13/02 as United States
(MM/DD/YY)

Application Number 10/319,700 or PCT International Application No.:
and was amended on _____ (if applicable).

(MM/DD/YY)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate, or PCT International application having a filing date prior to that of the application on which priority is claimed.

Prior Foreign Application(s) (if any):

Number Country Filing Date Priority Not Claimed

None _____ []
(MM/DD/YY)

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
<u>60/342,415</u>	<u>December 20, 2001</u> (MM/DD/YY)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT International application designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or the PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which become available between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Filing Date	Status (Patented)
		Pending, Abandoned)

None
(MM/DD/YY)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Charles W. Ashbrook.....(Reg. No. 27,610)
Evan J. Federman.....(Reg. No. 37,060)
Barry H. Jacobsen.....(Reg. No. 43,689)
Darryl C. Little.....(Reg. No. 40,703)
Francis J. Tinney.....(Reg. No. 33,069)
Linda A. Vag.....(Reg. No. 32,071)

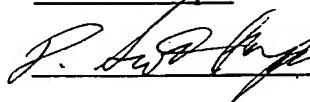
Address all telephone calls to at telephone number (973) 385-4401.

Address all correspondence to: Darryl C. Little
Attorney for Applicant
Warner Lambert Company
201 Tabor Road
Morris Plains, NJ 07950

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further, that these statements were made with knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, pursuant to 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or
first inventor (given name,
family name)

D. Scott Harper

 Date: Jan 10, 03

First Inventor's signature

Residence

178 Sycamore Terrace
Glen Rock, NJ 07452

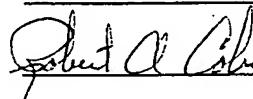
USA

Citizenship
Post Office Address

Same

Full name of second inventor
(given name, family name)

Robert A. Coburn

 Date: 1/14/2003

Second Inventor's signature

Residence

35 Chestnut Hill Lane South
Williamsville, NY 14221

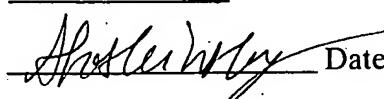
USA

Citizenship
Post Office Address

Same

Full name of third inventor
(given name, family name)

Andre Soshinsky

 Date: 1/9/03

Third Inventor's signature

Residence

1 Walnut Street
Randolph, NJ 07869

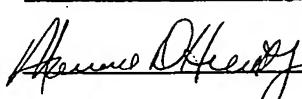
USA

Citizenship
Post Office Address

Same

Full name of fourth inventor
(given name, family name)

Marianne D. Huntley

 Date: 1/10/2003

Fourth Inventor's signature

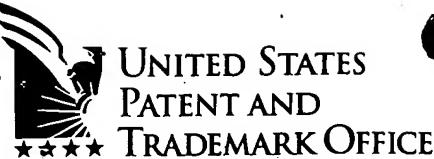
Residence

4 Martha Drive
Morristown, NJ 07960

USA

Citizenship
Post Office Address

Same



NOVEMBER 13, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

WARNER-LAMBERT COMPANY
DARRYL C. LITTLE
LEGAL DIVISION, PATENTS
201 TABOR ROAD
MORRIS PLAINS, NJ 07950



102211184A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/03/2002

REEL/FRAME: 013239/0211

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HARPER, D. SCOTT

DOC DATE: 08/19/2002

ASSIGNOR:

COBURN, ROBERT A.

DOC DATE: 08/16/2002

ASSIGNOR:

SOSHINSKY, ANDRE

DOC DATE: 08/22/2002

ASSIGNOR:

HUNTLEY, MARIANNE D.

DOC DATE: 08/26/2002

ASSIGNEE:

WARNER-LAMBERT COMPANY
201 TABOR ROAD
LEGAL DIVISION, PATENTS
MORRIS PLAINS, NEW JERSEY 07950

SERIAL NUMBER: 60342415

FILING DATE: 12/20/2001

PATENT NUMBER:

ISSUE DATE:

NOV 15 2002

9/3/02

Docket No.: pc020028

PM PTO-1595 (Modified)
Rev. 03-01
IB No. 0651-0027 (exp. 5/31/2002)
3/REVO3

09-04-2002

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

ab.settings ➡ ➡ ➡ ➡ ➡

102211184

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
D. Scott Harper (8/19/02)
Robert A. Coburn (8/16/02)
Andre Soshinsky (8/22/02)
Marianne D. Huntley (8/26/02)

2. Name and address of receiving party(ies):

Name: **Warner-Lambert Company**Internal Address: **Legal Division, Patents**Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other _____

Street Address: **201 Tabor Road**Execution Date: **See dates above next to inventors name**City: **Morris Plains, NJ** ZIP: **07950**Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/342,415

B. Patent No.(s)

'04/2002 6TOM11 00000037 230458 60342415

FC:581 40.00 CH

Additional numbers attached? Yes NoOFFICE OF PUBLIC RECORDS
107 SEP - 3 AM 10: 37
FINNCE SECTION

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Darryl C. Little**6. Total number of applications and patents involved: **1**Internal Address: **Warner-Lambert Company****Legal Division, Patents**Phone: **973-385-4401**Street Address: **201 Tabor Road**7. Total fee (37 CFR 3.41): \$ **40.00** Enclosed - Any excess or insufficiency should be credited or debited to deposit account Authorized to be charged to deposit accountCity: **Morris Plains, NJ** ZIP: **07950**

8. Deposit account number:

23-0458

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***Darryl C. Little Reg. No. 40,703**

Name of Person Signing

Signature

8/27/02Total number of pages including cover sheet, attachments, and document: **5**

Date

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

ASSIGNMENT

As a below-named inventor, I hereby declare that:

My post office address is as stated below under my signature and I am named as an inventor of the inventions or discoveries (herein INVENTIONS) as described in the patent application (herein APPLICATION) identified below. In view of valuable consideration, receipt thereof is hereby acknowledged, I do hereby assign and transfer unto WARNER-LAMBERT COMPANY, a corporation of the State of Delaware, having a place of business at MORRIS PLAINS, NEW JERSEY, its successors and assigns, my entire interest in and the full exclusive right to the INVENTIONS, the APPLICATION and all related applications (including provisionals, divisions, reissues, continuations, and extensions thereof) and all counterparts in other countries and any and all Letters Patent (and certificates of invention or similar certificates) (herein PATENTS) which may be granted based upon the INVENTIONS or the APPLICATION or related applications or counterparts in other countries; said transfer and assignment being applicable throughout the world. I hereby authorize and request officials of patent offices in any and all countries of the world to issue any and all of the PATENTS, when granted, to WARNER-LAMBERT COMPANY, its successors and assigns, as the assignee of my entire right, title and interest in and to the same. I agree that I will communicate to WARNER-LAMBERT COMPANY, or its representatives, any facts known to me respecting the invention; testify in any legal proceedings; sign all lawful papers; execute all provisional, divisional, continuation, substitution, renewal and reissue applications; execute all necessary assignment papers to cause any and all of the PATENTS to be issued to WARNER-LAMBERT COMPANY; make all rightful oaths; and generally do everything possible to aid WARNER-LAMBERT COMPANY, its successors and assigns, to obtain and enforce proper protection for the INVENTION in any and all countries throughout the world. The APPLICATION is identified herein.

Serial No. (if known) : 60/342,415
Filing Date (if known) : December 20, 2001
Execution Date(s) of Application :

Title: NON-HALOGENATED HYDROXYALKYL-SUBSTITUTED PHENOL COMPOUNDS, ANTIMICROBIAL COMPOSITIONS CONTAINING THE SAME AND METHODS OF USING THE SAME

FULL NAME OF INVENTOR : D. Scott Harper

Inventor's signature : D. Scott Harper
Residence : 178 Sycamore Terrace
Glen Rock, NJ 07452
Citizenship : USA
Post Office Address : Same

Date: 8/19/2002

STATE OF NJ

COUNTY OF Morris

On 8/19/02, the above-named inventors personally appeared before me and executed the foregoing instrument and acknowledged the same to be their free acts and deeds in and for the purpose set forth in said instrument.

(SEAL)

LISA PETERS

Notary Public of New Jersey

My Commission Expires January 28, 2007

Lisa Peters

Notary Public

My Commission Expires:

FULL NAME OF INVENTOR : Robert A. Coburn

Inventor's signature : Robert A. Coburn
Residence : 35 Chestnut Hill Lane South
Williamsville, NY 14221
Citizenship : USA
Post Office Address : Same

Date: 8/16/2002

STATE OF New York

COUNTY OF ERIE

On August 16, 2002, the above-named inventors personally appeared before me and executed the foregoing instrument and acknowledged the same to be their free acts and deeds in and for the purpose set forth in said instrument.

(SEAL)

PATRICIA MACEY
No. 01MA5086753
Notary Public, State of New York
Qualified in Erie County
My Commission Expires 10/20/2025

Patricia A. Macey

Notary Public

My Commission Expires:

FULL NAME OF INVENTOR : Andre Soshinsky

Inventor's signature

Residence

Citizenship

Post Office Address

Andre Soshinsky
 1 Walnut Street
 Randolph, NJ 07869
 USA
 Same

Date: 8/22/02

STATE OF NJCOUNTY OF Morris

On 8/22/02, the above-named inventors personally appeared before me and executed the foregoing instrument and acknowledged the same to be their free acts and deeds in and for the purpose set forth in said instrument.

(SEAL)

LISA PETERS

Notary Public of New Jersey

My Commission Expires January 28, 2007

Lisa Peters

Notary Public

My Commission Expires:

FULL NAME OF INVENTOR : Marianne D. Huntley

Inventor's signature

Residence

Citizenship

Post Office Address

Marianne D. Huntley Date: 8/26/2002

4 Martha Drive

Morristown, NJ 07960

USA

Same

STATE OF NJCOUNTY OF Morris

On 8/26/02, the above-named inventors personally appeared before me and executed the foregoing instrument and acknowledged the same to be their free acts and deeds in and for the purpose set forth in said instrument.

(SEAL)

Lisa Peters

Notary Public

My Commission Expires:

LISA PETERS

Notary Public of New Jersey

dcl116.doc

My Commission Expires January 28, 2007